IAP15 Rec'd PCT/PTO 16 AUG 2006

By Express Mail # EV857194314US

FORM PTO-1390

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET #: 5305-14PUS

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

1875 8937 CO 17

INTERNATIONAL APPLICATION NO.

PCT/CH2005/000039

INTERNATIONAL FILING DATE

January 26, 2005

PRIORITY DATE CLAIMED February 18, 2004

TITLE OF INVENTION

Method for Diafiltration of a Product and Device for Carrying Out This Method

APPLICANT(S) FOR DO/EO/US

Edgar ZIMMER

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1. [x] This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
- 2. [] This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371
- 3. [x] This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
- 4. [] The US has been elected (Article 31).
- 5. [x] A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. [x] is attached hereto (required only if not communicated by the International Bureau).
 - b.[] has been transmitted by the International Bureau.
 - c. [] is not required, as the application was filed in the United States Receiving Office (RO/US)
- 6. [x] An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. [x] is attached hereto.
 - b.[] has been previously submitted under 35 U.S.C. 154(d)(4).
- 7. [x] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. [x] are attached hereto (required only if not communicated by the International Bureau).
 - b.[] have been transmitted by the International Bureau.
 - c. [] have not been made; however, the time limit for making such amendments has NOT expired.
 - d.[] have not been made and will not be made.
- 8. [x] An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- 9. [x] An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10.[] A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 20. Below concern other document(s) or information included:

- 11.[x] An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12.[x] An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13.[x] A preliminary amendment.
- 14.[x] An Application Data Sheet under 37 CFR 1.76.
- 15.[] A substitute specification.
- 16.[x] A power of attorney and/or address letter.
- 17.[] A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825
- 18.[] A second copy of the published International Application under 35 U.S.C. 154(d)(4).
- 19.[] A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
- 20.[x]Other items or information: (specify): Int'l Preliminary Examination Report, Int'l Search Report,

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IAP11 Rec'd PCT/PTO 16 AUG 2006

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| U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) | | | | | INTERNATIONAL APPLICATION NO. PCT/CH2005/000039 | | | ATTORNEY'S DOCKET NUMBER 5305-14PUS | |
|--|--|---|---|--------------------------------------|---|--|--------------------------------------|-------------------------------------|------------|
| The following f | | | | | | | | | |
| 21. [x] Basic National Fee (37 CFR 1.492(a)(1)-(5)) \$300 | | | | | | | | \$ | 300 |
| [X] Examination Fee If International preliminary examination report is prepared by USPTO and all claims satisfy the provisions of PCT article 33(1)-(4) All other situations \$200 | | | | | | | | \$ | 200 |
| 22. [x] Search Fee Search fee (37 CFR 1.445(a)(2)) has been paid on the International application to the USPTO as an International Searching Authority International Search Report prepared and provided to the Office \$400 All other situations \$500 | | | | | | | | \$ | 500 |
| ENTER APPROPRIATE BASIC FEE AMOUNT (total of 21, 22 and 23) = | | | | | | | | | 700 |
| [] Application Fee for specification and drawing filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Total Sheets Extra Sheets Number of additional 50 or fraction thereof RATE (round up to a whole number) | | | | | | | | | |
| -100 = | /50 = | | (round up to u v | viioie nuini | JCI) | | x \$250 | \$ | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)). | | | | | | | | \$ | |
| Claims Number Filed Number Extra RATE | | | | | | | | | |
| Total Claims | | | 36 - 20 = | | | x \$ 50.00 | | \$ | 1300 |
| Independent Claims | | | 2 - 3 = | | | x \$200.00 | | \$ | |
| Multiple dependent claim(s) (if applicable) + \$360.00 | | | | | | | | \$ | |
| TOTAL OF ABOVE CALCULATIONS = | | | | | | | | \$ | 2000 |
| [] Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½. | | | | | | | | \$ | |
| SUBTOTAL = | | | | | | | | | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). | | | | | | | | \$ | |
| TOTAL NATIONAL FEE = | | | | | | | | \$ | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by the appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + | | | | | | | | \$ | 40 |
| TOTAL FEES ENCLOSED | | | | | | | | | \$2040 |
| Amount to be refunded | | | | | | | | : | \$ |
| Amount to be charged: | | | | | | | | | \$ |
| b. [] Please cha of this c. [x]The Comm overpa | rge my De sheet is end nissioner is yment to D an approp | posit A closed s hereb Deposit | y authorized to ch Account No. <u>03-2</u> time limit under : | 12 in the ararge any add 4412. A dup | mount of Iditional plicate co | fees which mopy of this shoot been met | eet is enclosed. , a petition to rev | cred | it any |
| (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending SEND ALL CORRESPONDENCE TO: Kent H. Cheng | | | | | | | | | 43. |
| Cohen, Pontani, Lieberman & Pavane LLP 551 Fifth Avenue, Suite 1210 New York, New York 10176 Kent H. Cheng Registration Number: 33,849 August Tel: (212) 687-2770 | | | | | | | | | <u>006</u> |
| orm PTO-1390 (RE | | | | nge 2 of 2 | | | | | |

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